

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>20750-043US1</b>	Application No. <b>10/561,071</b>
	Applicant <b>Brian Smith, et al.</b>		
	Filing Date <b>May 26, 2006</b>	Group Art Unit	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A1	US 3652543	3/28/72	Hoegerle			
	A2	US 3716639	2/13/73	Hoegerle et al.			
	A3	US 3795683	3/05/74	Brossi et al.			
	A4	US 4108989	8/22/78	Holden			
	A5	US 4111957	9/05/78	Holden et al.			
	A6	US 4210749	7/01/80	Shetty			
	A7	US 4210729	7/01/80	Bola V. Shetty			
	A8	US 4233217	11/11/80	Shetty			
	A9	US 5387685	2/07/85	Powell et al.			
	A10	US 4762845	8/09/88	Chu et al.			
	A11	US 4988690	1/29/91	Effland et al.			
	A12	US 5015639	5/14/91	Berger et al.			
	A13	US 5178786	1/12/93	Jahnke et al.			
	A14	US 5387685	7/16/93	Powell et al.			
	A15	US 5275915	1/04/94	Kojima et al.			
	A16	US 5412119	5/02/95	Brussee et al.			
	A17	US 5422355	6/06/95	White et al.			
	A18	US 5691362	11/25/97	McCormick et al.			
	A19	US 5750520	5/12/98	Danilewicz et al.			
	A20	US 5856503	1/05/99	Aebi et al.			
	A21	US 5861393	1/19/99	Danilewicz et al.			
	A22	US 5925651	7/20/99	Hutchinson			
	A23	US 5939415	8/17/99	Laufer et al.			
	A24	US 5942535	8/24/99	Laufer et al.			
	A25	US 5958943	9/28/99	Laufer et al.			
	A26	US 6087346	7/11/00	Glennon et al.			
	A27	US 6218385	4/17/01	Adam et al.			

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	A28	US 6218385	4/17/01	Geo Adam et al.			
	A29	US 6900313	5/31/05	Wasserscheid et al.			
	A30	US 6953787	10/11/05	Smith et al.			
	A31	US 6972295	12/06/05	Hagmann et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
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							Yes	No
	A32	DE 1944121	3/19/70	Germany				
	A33	CH 500194	1/29/71	Switzerland				
	A34	GB 1221324	2/03/71	United Kingdom				
	A35	GB 1225053	3/17/71	United Kingdom				
	A36	GB 1268243	3/22/72	United Kingdom				
	A37	NL 7807819	7/21/78	Netherlands				
	A38	EP 0007070	1/23/80	Europe				
	A39	CA 1090797	12/02/80	Canada				
	A40	GB 1599705	10/07/81	United Kingdom				
	A41	EP 0080779 A1	6/08/83	Europe				
	A42	DE 3315106 A1	11/03/83	Germany				
	A43	EP 0096838 A1	12/28/83	Europe				
	A44	EP 0161350 A1	11/21/85	Europe				
	A45	EP 0174118 A2	3/12/86	Europe				
	A46	EP 0285287 A3	3/23/87	Europe				
	A47	EP 0285919	10/12/1988	Europe				
	A48	WO 8807858	10/20/88	WIPO				
	A49	WO 9119698	12/26/91	WIPO				
	A50	WO 9300094	1/07/93	WIPO				
	A51	JP 5339263	12/21/93	Japan				

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	A52	JP 06298746	10/25/94	Japan				
	A53	WO 9513274	5/18/95	WIPO				
	A54	CA 2197789	8/16/95	Canada				
	A55	WO 9604271	2/15/96	WIPO				
	A56	WO 9605194	2/22/96	WIPO				
	A57	JP 08134048	5/28/96	Japan				
	A58	WO 9633993	10/31/96	WIPO				
	A59	JP 09030960	2/04/97	Japan				
	A60	JP 90987258	3/31/97	Japan				
	A61	WO 97/24364	7/10/97	WIPO				
	A62	WO 9806701	2/19/98	WIPO				
	A63	WO 9840385	9/17/98	WIPO				
	A64	WO 99/24411	5/20/99	WIPO				
	A65	EP 0987235 A1	3/22/00	Europe				
	A66	EP 1074549 A2	2/07/01	Europe				
	A67	WO 0240471	5/23/02	WIPO				
	A68	WO 0248124	6/20/02	WIPO				
	A69	WO 02074746	9/26/02	WIPO				
	A70	WO 03000663	1/03/03	WIPO				
	A71	WO 2003/027068	4/03/03	WIPO				
	A72	WO 2003/062392	7/31/03	WIPO				
	A73	WO 03086306	10/23/03	WIPO				
	A74	EP 987235	12/03/03	Europe				
	A75	WO 04037788	5/06/04	WIPO				
	A76	WO2005/019179A2	03/03/2005	PCT				
	A77	WO2005/042490A1	05/12/2005	PCT				
	A78	WO2005/042491A1	05/12/2005	PCT				

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	A79	WO 2006/013209	2/09/06	WIPO				
	A80	WO 2006/043710	4/27/06	WIPO				
	A81	WO2006/069363A2	06/29/06	WIPO				
	A82	WO2006/071740A2	07/06/06	WIPO				

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Examiner Initial	Desig. ID	Document
	A83	Barnes, T. R., "Pharmacological Strategies for Relapse Prevention in Schizophrenia", <i>Psychiatry</i> 3(10): 37-40 (2004)
	A84	Chahal et al., IDdb Meeting Report 2000, May 17-18
	A85	Chang et al., "Dopamine receptor binding properties of some 2, 3, 4, 5-tetrahydro-1H-3-benzazapine-7-ols with non-aromatic substituents in the 5-position", <i>Bioorg. Med. Chem. Lett.</i> 2:399-402 (1992)
	A86	Deady et al., "Synthesis of some tetrahydro-2-and 3-benzazepines, and of hexahydro-3-benzazocine", <i>Journal of the Chemical Society, Perkins Transactions</i> 1, 1973 pp. 782-783
	A87	Di Chiara G., (2002) <i>Behavioural Brain Research</i> , 137: 75-114
	A88	Di Chiara et al., "Reward System and Addiction: What Dopamine Does and Doesn't Do", <i>Current Opinion in Pharmacology</i> 7:69-76 (2007)
	A89	Diagnostic and Statistical Manual of Mental Disorders, 4th edition, Text Revision, Washington, DC, American Psychiatric Association, 2000*
	A90	Di Matteo et al., "Role of 5-HT <sub>2C</sub> Receptors in the Control of Central Dopamine Function", <i>Trends in Pharmacological Sciences</i> 22(5):229-232 (2001)
	A91	Flannery-Schroeder, E. C., "Reducing Anxiety to Prevent Depression", <i>Am. J. Prev. Med.</i> 31(6S1):S136-S142 (2006)
	A92	Fuchs et al., "Total synthesis of (+/-)-lennoxamine and (+/-)-aphanorphine by intramolecular electrophilic aromatic substitution reactions of 2-amidoacroleins", <i>Organic Letters</i> , 2001, pp. 3923-3925, 3(24) American Chemical Society
	A93	Gallant et al., (1967) <i>Current Therapy Research</i> 9(11):579-81
	A94	Gardent et al., "Sur quelques de l' amino-2-bromo-4 1H benzazepine-3 et de ses derives", <i>Bull Soc. Chim. France</i> 2:600-605 (1968)
	A95	Gerace et al., "Predictors of Weight Increases over 7 Years in Fire Fighters and Paramedics", <i>Preventive Medicine</i> 94:593-600 (1996)
	A96	Gobert et al., (2000) <i>Synapse</i> 36: 205-221
	A97	Gombar et al., "Pharmacokinetics of a series of 6-chloro-2, 3, 4, 5-tetrahydro-3-substituted-1H-3-benzazepines in rats", <i>Drug Metab. Disposition</i> 16:367-372 (1988)
	A98	Greene et al., <i>Protective Groups in Organic Syntheses</i> , 2 <sup>nd</sup> Ed., Wiley and Sons, NY (1991)*

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	A99	Halford et al., "Serotonergic Drugs: Effects on Appetite Expression and Use for the Treatment of Obesity", <i>Drugs</i> 67(1):27-55 (2007)
	A100	Halford, J.C.G., "Obesity Drugs in Clinical Development", <i>Current Opinion in Investigational Drugs</i> 7(4):312-318 (2006)
	A101	Hassine-Coniac et al., "Preparation et proprietes d'aldehydes dans la serie de la benzazapine-3", <i>Bull Soc. Chim. France</i> 11:3985-3992 (1971)
	A102	Hazebroucq, "Acces a des I-H, tetrahydro-2, 3, 4, 5 benzazepines-3 one-1 et a des hexahydro imidazo isoquinoleines", <i>Ann. Chim.</i> (1966) pp. 221-254
	A103	Hester et al., (1968) <i>J. Med. Chem.</i> 11(1): 101
	A104	Higgins et al., (2003) <i>European Journal of Pharmacology</i> , 480: 151
	A105	Hitzig, P., (1994) <i>Journal of Substance Abuse Treatment</i> , 11(5): 489
	A106	Im et al., (2003) <i>Molecular Pharmacology</i> , 64: 78-84
	A107	Jandacek, R.J., "APD-356 (Arena)", <i>Current Opinion in Investigational Drugs</i> 6(10):1051-1056 (2005)
	A108	Jenck et al., (1998) <i>European Neuropsychopharmacology</i> 8: 161 (Abstract)
	A109	Jensen et al., "Potential Role of New Therapies in Modifying Cardiovascular Risk in Overweight Patients with Metabolic Risk Facts", <i>Obesity</i> 14 (Suppl. 3):143S-149S (2006)
	A110	Karasu et al., (2000) Practice Guideline for the Treatment of Patients with Major Depressive Disorder
	A111	Koplan et al., "Preventing Childhood Obesity: Health in the Balance, Executive Summary", <a href="http://www.nap.edu/catalog/11015.html">http://www.nap.edu/catalog/11015.html</a> , 41 pages (2005)
	A112	Krull, O. and Wunch, B., "Synthesis and Structure/NMDA Receptor Affinity Relationships of 1-Substituted Tetrahydro-3-benzazepines", <i>Bioorganic and Medicinal Chemistry</i> , 12, pp. 1439-1451, 2004.
	A113	Ladd et al., "Synthesis and dopaminergic binding of 2-aryldopamine analogues: phenethylamines, 2-benzazepines, and 9-(Aminomethyl) fluorenes", <i>J. Med. Chem.</i> , Vol. 29:1904-1912 (1986)
	A114	Lam RW, Levitt AJ (1999) (eds) Canadian Consensus Guidelines for the Treatment of Seasonal Affective Disorder, Clinical & Academic Publishing, Vancouver, BC, Canada
	A115	Lennon et al., "Azabenzocycloheptenones Part XVIII. Amines and amino-ketones of the tetrahydro-3-benzazepine-1-one series", <i>J. Chem. Soc. Perkin Transacts.</i> (1975) 7:622-626
	A116	Linda D. Williams, <i>Chemistry Demystified</i> 123 (2003)
	A117	Macdonald et al., "Design and synthesis of trans-3-(2-(4-((3-(3-(5-methyl-1,2,4-oxadiazolyl))-phenyl)carboxamido)cyclohexyl)ethyl)-7-methylsulfonyl-2,3,4,5-tetrahydro-1H-3-benzazepine (SB-414796): A Potent and selective dopamine D.sub.3 Receptor Antagonist", <i>J. Med. Chem.</i> 46:4952-4964 (2003) American Chemical Society
	A118	Moline et al., (2001) Expert Consensus Guideline Series – Treatment of Depression in Women 2001, March: 112-113
	A119	Müller et al., (2006) <i>Trends in Pharmacological Sciences</i> , 27(9): 455 (Abstract)

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	A121	Navarro-Vazquez et al., "A study of aryl radical cyclization in enamione esters", J. Org. Chem. 67:3213-20 (2002)
	A122	Niendam et al., "Neurocognitive Performance and Functional Disability in the Psychosis Prodrome", <i>Schizophrenia Research</i> 84:100-111 (2006)
	A123	Orioto et al., "Benzolactams—I: Alkylation of 1,2,4,5-tetrahydro-3-methyl-3h-3-benzazepin-2-one with sodium hydride and alkyl halide", <i>Tetrahedron</i> 36:1017-1021 (1980) Pergamon Press Ltd.
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	A129	Perry et al., "Prospective study of risk factors for development of non-insulin dependent diabetes in middle aged British men", <i>BMJ</i> (1995) 310:560-564
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	A133	Prous Science Integrity entry 354056
	A134	Rothman R.B., (1995) <i>Journal of Substance Abuse Treatment</i> , 12(6): 449
	A135	Schlademan et al., "Synthesis of oxo- and 1-hydroxy-azobenzocycloalkanes", <i>J. Chem. Soc. Perkin Transacts.</i> (1972) 2:213-215
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	A138	Smith, B. M. et al., "Discovery and Structure – Activity Relationship of (1 <i>R</i> )-8-Chloro-2,3,4,5-tetrahydro-1-methyl-1 <i>H</i> -3-benzazepine (Lorcaserin), a Selective Serotonin 5-HT <sub>2c</sub> Receptor Agonist for the Treatment of Obesity", [retrieved on 12/21/07]. Retrieved from the Internet. <URL: <a href="http://pubs.acs.org/journals/jmcmar/index.html">http://pubs.acs.org/journals/jmcmar/index.html</a> >.
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	A146	International Search Report dated November 22, 2004 for International Application No. PCT/US04/019670.

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